

Building Safety Bill Update & Gateway 1

Overview of recent developments

London Building Control

13 Woodstock Street, Mayfair, London, W1C 2AG

Tel: 0207 099 3636 info@londonbuildingcontrol.co.uk www.londonbuildingcontrol.co.uk





















Who we are?

London Building Control Ltd are one of the largest Corporate Approved Inspectors. LBC work to industry codes and building control performance standards to ensure our building control process provides added value reducing unnecessary bureaucracy, delays and costs for our clients. With offices in London, Manchester, Welwyn Garden City, Chichester and Exeter we offer a high-level service for commercial, public sector and residential projects.

Our Professional Team

Our clients are important to us, so we take the time to listen to their needs and align our experience and expertise to ensure they are met. LBC's surveying team provide advice on all areas of compliance within Building Regulations. We provide pre-application advice and assistance to design teams throughout the process to ensure building regulation compliance.

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *





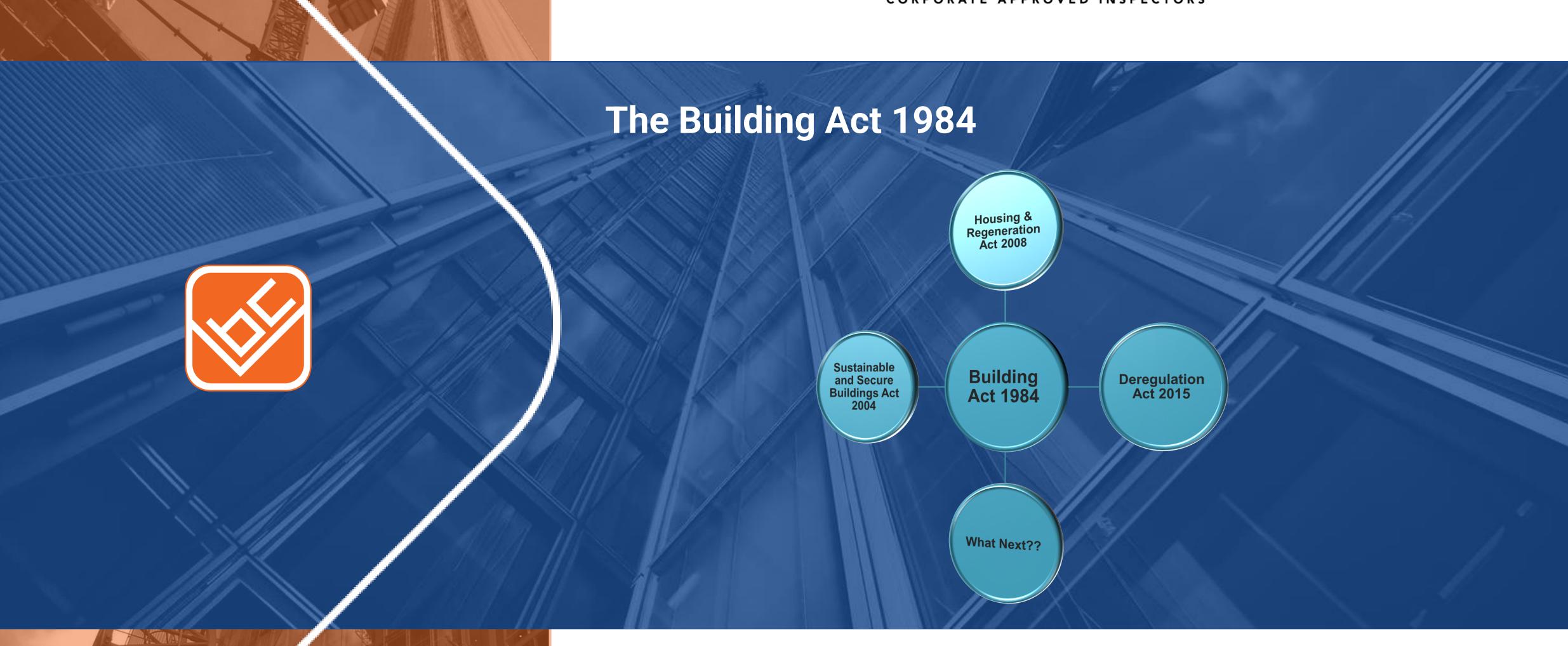






















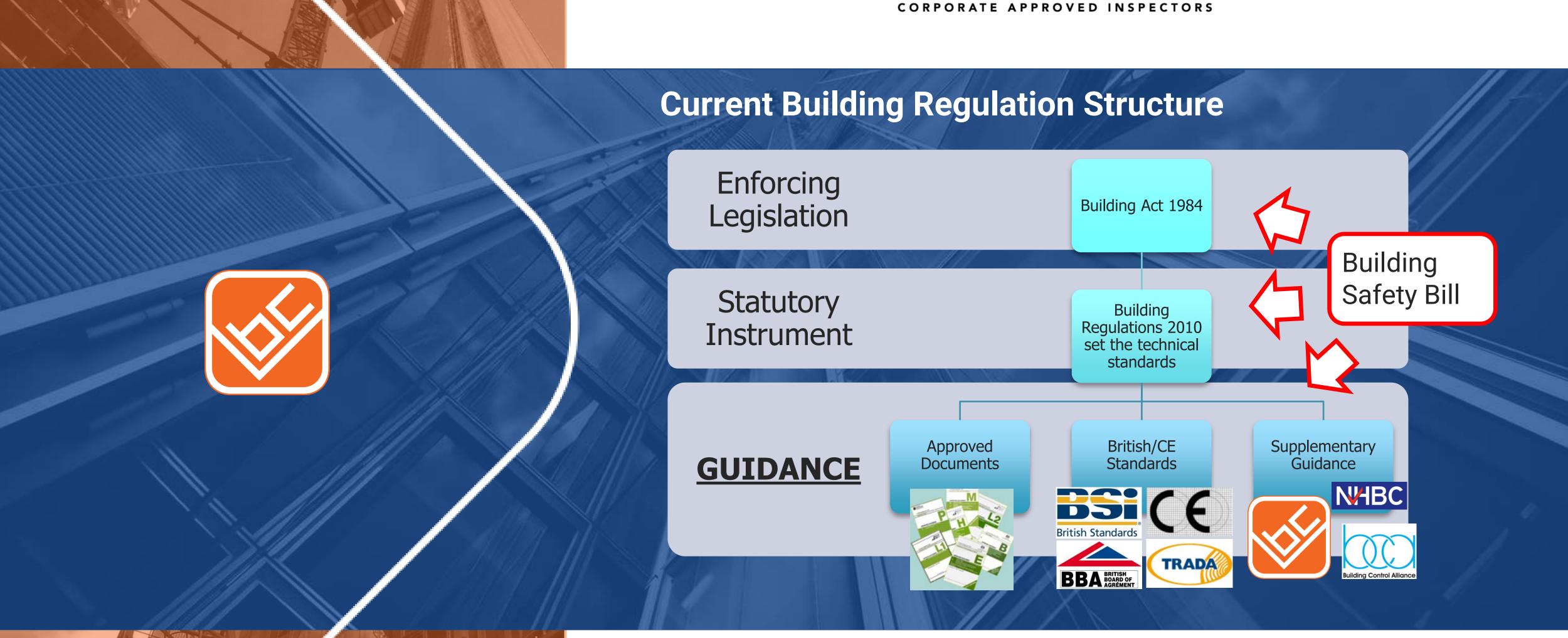


































Requirement

Requirement

Means of warning and escape

B1. The building shall be designed and constructed so that there are appropriate provisions for the early warning of fire, and appropriate means of escape in case of fire from the building to a place of safety outside the building capable of being safely and effectively used at all material times.

Limits on application

Requirement B1 does not apply to any prison provided under section 33 of the Prison Act 1952(a) (power to provide prisons, etc.).

(a) 1952 c. 52; section 33 was amended by section 100 of the Criminal Justice and Public Order Act 1994 (c. 33) and by S.I. 1963/597.

Technical requirements of regulations are an Outcomes-based approach Appropriate - Reasonable - Adequate - Suitable **Not prescriptive but with Approved Guidance**

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *









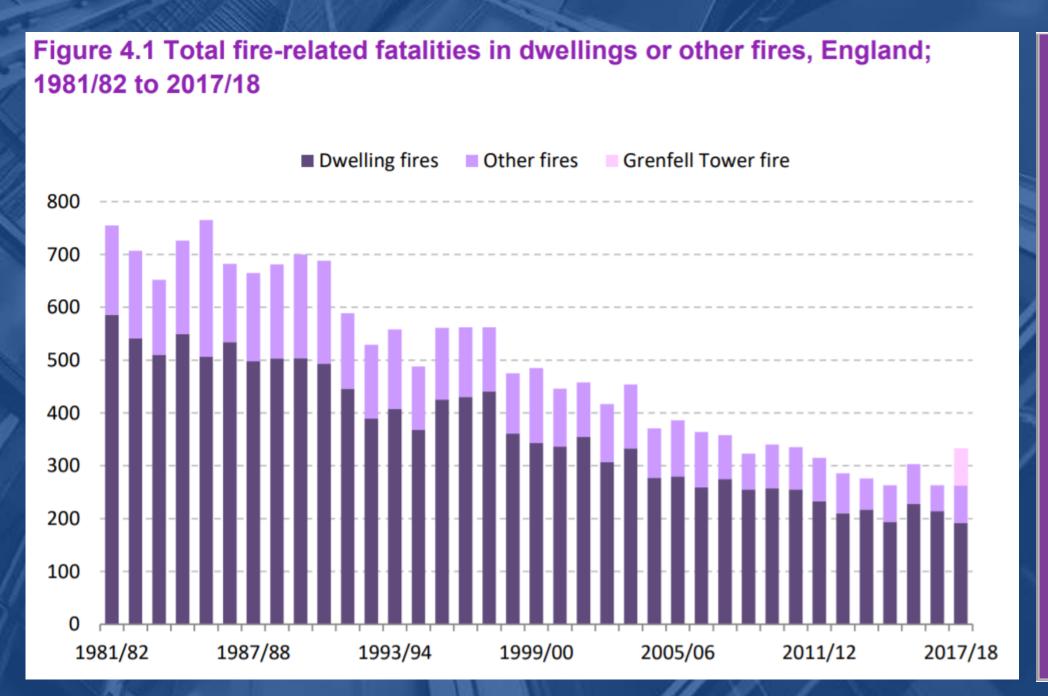


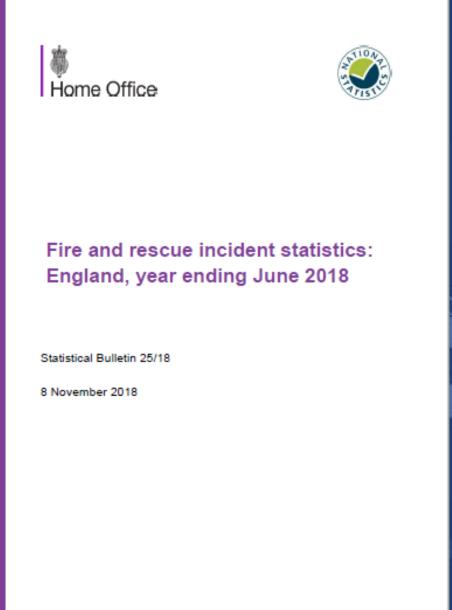














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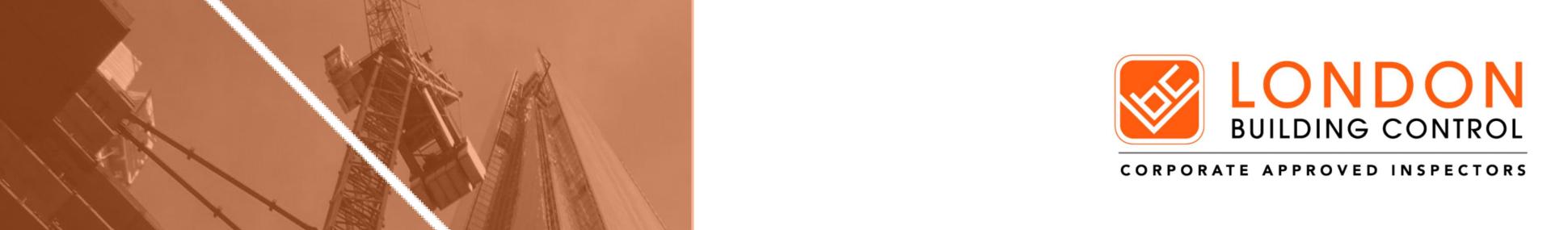
















The Great Fire of London 1666 Rebuilding Act 1667



Dwelling death Statistics (approximate):

- Fire related 200
- Falling down stairs 1000
- Radon related 1100+
- Overheating 2000
- Global warming??
- Poor ventilation??



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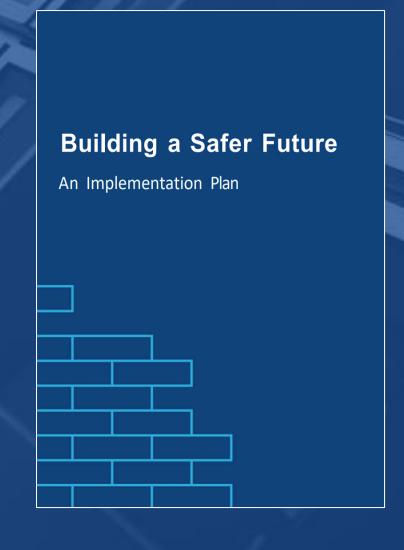
Building a Safer Future

Independent Review of Building Regulations and Fire Safety: **Final Report**

Hackitt Report & Building Regs Review

- Implementation Plan published December 2018
- New regulatory framework
- Single streamlined regulatory route
- Duty holder roles and responsibilities
- Gateway points for regulatory oversight
- Rigorous enforcement powers
- Industry competence

53 recommendations made



Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *

















Draft Building Safety Bill

Parts & Contents – Overview

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *

















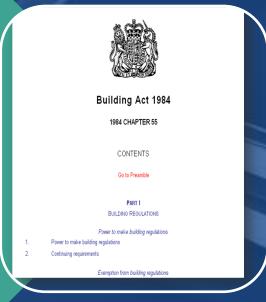


An Act is known as an 'Act of Parliament'

3 sets of readings as a Bill in each House

Royal Assent by the Queen

Legal Scrutiny



Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *

















- Part 1 Overview of the 'Act'
- Part 2 The new Building Safety Regulator, definitions, scope, functions
- Part 3 Amendments to the Building Act Duty holder regime, registration of building control
- Part 4 Occupied building obligations and the roles of Accountable Person and Building Safety Manager, plus offences and sanctions
- Part 5 Supplementary provisions regarding























Draft Building Safety Bill

The Building Safety Regulator

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *

















Building Safety Regulator Overview

- Health & Safety Executive
- Regulatory decisions under the new regime
- Implementing the new stringent regulatory regime
- Advising on Building Standards
- Overseeing Building control Bodies
- Competence in the built environment
- Assistance from 'Designated Body'
- Control of materials
- Developing guidance



















Building Safety Regulator Developments

HSE's people

HSE board of Non-Executives

Management Board

Speeches

Diversity and equality

Tackling discrimination

Equality Duty

Peter Baker

Chief Inspector of Buildings - Health & Safety Executive



Peter has over 30 years' experience with HSE as an Inspector and in a number of senior operational roles dealing with a wide range of industry sectors, including construction, major hazard industries and local authorities. Peter led HSE's reform and delivery of the regulatory regime for chemical and downstream oil industries, and was appointed HSE's Chief Inspector of Construction in 2015.

From 2017, Peter led HSE's involvement in the Government's Building Safety Programme following the Grenfell Tower tragedy. As Chief Inspector of Buildings he is now at the forefront of establishing the new Building Safety Regulator in HSE and leading the delivery of the stronger, more robust building safety regime for higher risk buildings working with government, industry, partner regulators and residents.

As Director of HSE's Building Safety and Construction Division, Peter also has Executive Board responsibility for HSE's construction operations across Great Britain.

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *





















- The regulator must provide such assistance and encouragement to relevant persons as it considers appropriate with a view to facilitating their securing the safety of people in or about higher-risk buildings in relation to building safety risks as regards those buildings.
- For this purpose "relevant persons" means
 - residents of higher-risk buildings,
 - persons upon whom duties are imposed by virtue of paragraph 4D of Schedule 1 to the Building Act 1984 (dutyholders), and
 - persons who are accountable persons or building safety managers within the meaning of Part 4 of this Act.
- Parts 3 and 4 contain provision conferring further functions on the regulator in relation to higher-risk buildings.

Tel: 0207 099 3636 * info@londonbuildingcontrol.co.uk * www.londonbuildingcontrol.co.uk

















- Facilitating improvement in competence of industry and building inspectors 6
 - The regulator must provide such assistance and encouragement as it considers appropriate to –
 - persons in the built environment industry, and
 - registered building inspectors,
 - with a view to facilitating their improving the competence of persons in that industry or members of that profession (as the case may be).
 - For the meaning of "built environment industry" and "registered building inspector" see section 35.

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *

















- **Building Advisory Committee Section 9** Validate and assure guidance
- Committee on Industry Competence Section 10 System for competence oversight.
- Residents' Panel Section 11 Resident's voice on decisions

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *

















Clause 19: Meaning of "higher-risk building

Preliminary defined as:

- Buildings with two or more dwellings
- Two or more Rooms for Residential Purposes
- Student accommodation
- Meets the height condition (18m or 7 storeys)

Excludes:

- Secure residential institutions
- Temporary accommodation

Amendments

BSR will regulate high-rise buildings:

- 1. have at least 2 residential units
- 2. are hospitals or care homes (during design and construction)

Meet height condition





Tel: 0207 099 3636 *



























Draft Building Safety Bill

Dutyholder regime

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *

















Robert Jenrick MP (Secretary of State for Housing, Communities and Local Government)

"the draft Bill will introduce a new era of accountability, making it clear where the responsibility for managing safety risks lies throughout the design, construction and occupation of buildings in scope. There will be tougher sanctions for those that fail to meet their obligations."



























Dutyholders and general duties

In Schedule 1 to the Building Act 1984 (building regulations) after paragraph 5 insert –

"Appointed persons

- 5A (1) Building regulations may require prescribed appointments to be made, in relation to any work or other matter to which building regulations are applicable.
 - (2) Building regulations may make provision about appointments, including provision about –
 - the persons who are to make appointments;
 - the persons who may be appointed;
 - the time by which appointments must be made;
 - the period for which persons are to be appointed;
 - the termination of appointments;
 - the replacement of appointed persons.
 - (3) The regulations may provide that in prescribed circumstances an appointment is treated as made.
 - (4) In this Schedule "appointed person", in relation to any work or other matter to which building regulations are applicable, means a person appointed in relation to that work or matter under building regulations made by virtue of this paragraph.

Tel: 0207 099 3636 info@londonbuildingcontrol.co.uk www.londonbuildingcontrol.co.uk

















General duties

- (1) Building regulations may, for the purpose of facilitating compliance with any requirement of building regulations in relation to any work or other matter to which building regulations are applicable —
 - (a) impose duties on relevant persons in connection with the planning or management of the work or other matter;
 - (b) require relevant persons to co-operate with other relevant persons.
 - The following are "relevant persons" for this purpose—
 - (a) any appointed person;
 - (b) any prescribed person."













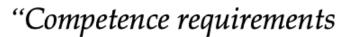












- 5C (1) Building regulations may, in relation to any work or other matter to which building regulations are applicable, impose competence requirements on –
 - any appointed person, or
 - any prescribed person.
 - (2) A "competence requirement" is a requirement relating to
 - the skills, knowledge, experience and behaviours of an individual;
 - the capability of a person other than an individual to perform its functions under building regulations.
 - (3) The regulations may require an appointed person who is not an individual to give an individual acting under its control who has the appropriate skills, knowledge, experience and behaviours the task of managing its functions as an appointed person."

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *















Dutyholders – Competence

Building Safety Bill Stipulations

Competence frameworks (BSI, Institutions)

Industry led response 'setting the bar'

Built environment – Core criteria for building safety in competence frameworks – Code of practice

April 2021 Version 3



BSI Flex 8670: v3.0 2021-04

Ministry of Housing, Communities & Local Government

bsi.

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *













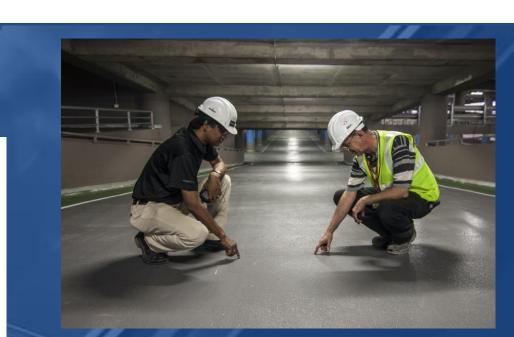






Accountable person

- In this Part any reference to the "accountable person" for a higher-risk building is to
 - a person who holds a legal estate in possession in any part of the common parts (subject to subsection (2)), or
 - a person who is under a relevant repairing obligation in relation to any part of the common parts.







- This section applies where a person other than an individual has been appointed as the building safety manager for a higher-risk building.
- The building safety manager must as soon as reasonably practicable appoint an individual acting under its control to be the nominated individual for the building.
- If at any time there is no nominated individual for the building, the building safety manager must as soon as reasonably practicable appoint another individual acting under its control to be the nominated individual for the building.
- A nominated individual must manage the building safety manager's functions.
- The building safety manager may appoint an individual under subsection (2) or (3) only if satisfied that the individual has the appropriate skills, knowledge, experience and behaviours to manage their functions.



info@londonbuildingcontrol.co.uk *



















Building Safety Bill

The Gateway Process























Pre-construction Phase

Construction Phase

Occupation

Gateway

Gateway

Gateway 3

Safety Case

Design

Regulation 38

Golden Thread

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *





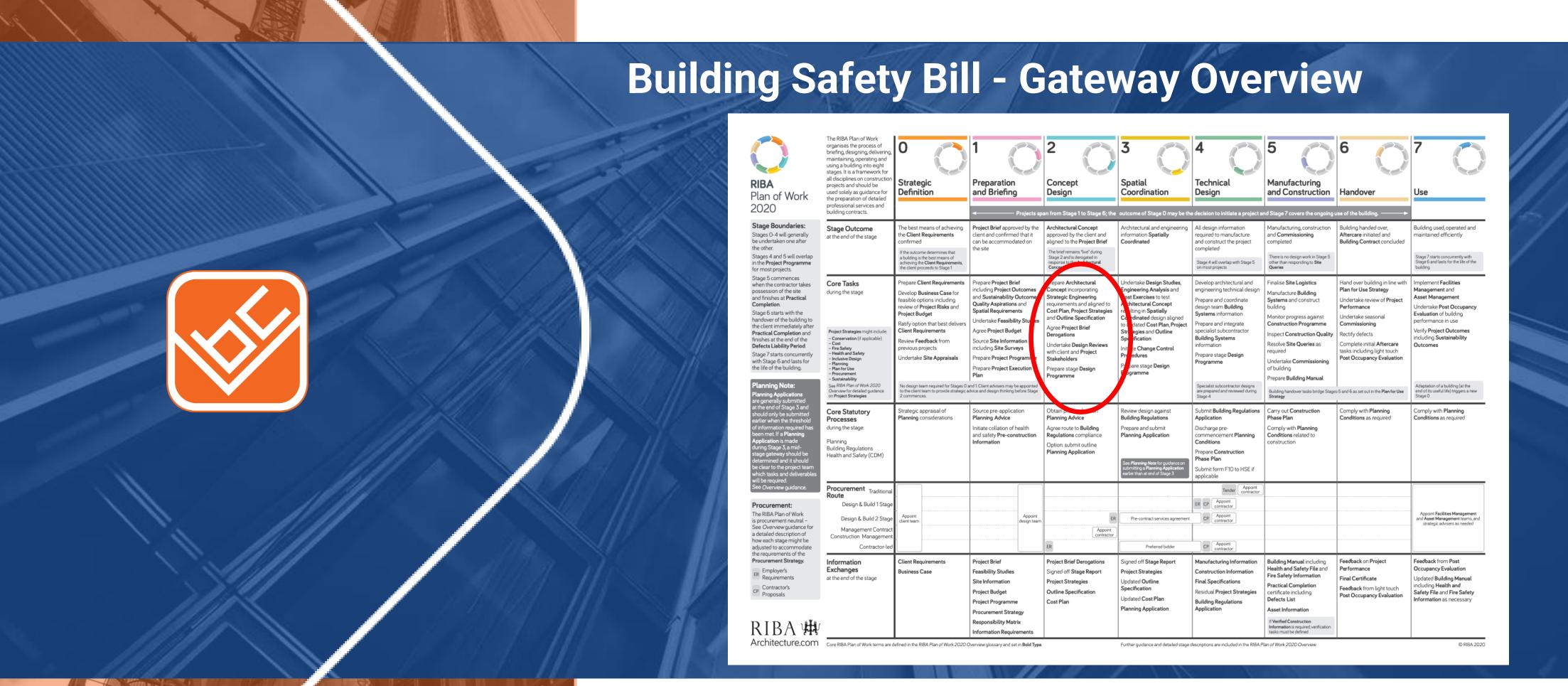












www.londonbuildingcontrol.co.uk info@londonbuildingcontrol.co.uk * Tel: 0207 099 3636 *

















Building Safety Bill – Planning Gateway 1

Fire safety measures included at an early Planning Stage

- Town and Country Planning changes (pre BSB)
- Relevant buildings
- Establishes the BSR as a mandatory consultee
- Fire Safety Statements
- Exemptions

Issues

- Planning Problems
- The competency and skills challenge
- Wider regulatory framework of BSB?

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *















Gateway 1 – Fire Statement

Two options - dynamic fire statement form or static fire statement form

Relevant building (height condition)

- Site address, description, etc
- Competence of person completing
- **Building Schedule**
- Plans, information, proposals, etc
- Specific technical complexities
- Signatories

Fire statement placed on planning register

Draft guidance: fire statement

The purpose of this document is to provide guidance on the completion of a fire statemen where there is a requirement to submit a fire statement with an application for planning

Users of this guidance should be aware of and familiarise themselves with Article 9A of The Town and Country Planning (Development Management Procedure) (England) Order 2015

relevant to land use planning. The level of detail and focus of information should not contain with the building regulations or the Fire Safety Order¹, and local planning authorities will not be responsible for any building regulation matters or the enforcement of building control scale, type and complexity of the proposa

site including the spaces between buildings, fire statements are required to include

There are two options for completing the fire safety form published by the Secretary of State:

- · dynamic fire statement form (which can be completed electronically using drop dowr
- static fire statement form (which can be printed and filled in by hand)

Regulatory Reform (fire safety) Order 2009

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *

















Identification of base design criteria **Key Information**

- Occupancy
- Fire hazards
- Location/Site
- Size (Height)
- Guidance/deviations

Not full Fire Strategy

































Gateway 1Amendments to The Town and Country Planning

Amendments to the Town and Country Planning (General Permitted Development) (England) Order 2015

2.—(1) Part 20 of Schedule 2 to the Town and Country Planning (General Permitted Development) (England) Order 2015(1) is amended as follows.

- (2) In paragraph A.2(1)—
 - (a) omit "and" at the end of paragraph (g);
 - (b) after paragraph (h) (and immediately before the words that follow that paragraph) insert—

"and

- (i) where the existing building is 18 metres or more in height, the fire safety of the external wall construction of the existing building,".
- (3) In paragraph AA.2(1), after paragraph (j) (and immediately before the words that follow that paragraph) insert—

and

- (k) where the existing building is 18 metres or more in height, the fire safety of the external wall construction of the existing building,".
- (4) In paragraph B—
 - (a) in sub-paragraph (2)-
 - (i) omit "and" at the end of paragraph (g);
 - (ii) after paragraph (h) (and immediately before the words following that paragraph) insert—

"and

- (i) where the application for prior approval relates to the requirement mentioned in paragraph A.2(1)(i) or AA.2(1)(k), a report from a chartered engineer or other competent professional confirming that the external wall construction of the existing building complies with paragraph B4(1) of Schedule 1 to the Building Regulations 2010(2),".
- (b) after sub-paragraph (9) insert—

"(9A) Where the application relates to prior approval under paragraph A.2(1)(i) or AA.2(1)(k), the local planning authority must refuse prior approval if the application is not accompanied by the report mentioned in subparagraph (2)(i) above."

Tel: 0207 099 3636

- info@londonbuildingcontrol.co.uk
- www.londonbuildingcontrol.co.uk





















Building Safety Bill - Gateway 2

Strengthened oversight at technical design and preconstruction stage:

- Enhances current building control 'deposit of plans' with the BSR controlling in scope buildings
- Implements a 'hard stop' where construction cannot begin until the BSR has approved the application
- Complete plans plus a Fire and Emergency File and Construction Control Plan. Decisions to be well considered and justified with realistic fire safety management expectations
- Requirements set for construction including effective change information and competence management.

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *

















Building Safety Bill - Gateway 3

Strengthened oversight at completion, commissioning and handover stage:

- Final/completion certificate stage
- Implements 'hard stop' where the BSR must approve the scheme, undertaking final inspections issue a certificate
- Required as built detailed documentation on building required to issue a certificate
- Documents and information must be handed over to Accountable Person (the golden thread)

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *

















Simple/Complex buildings?

- **Escape routes**
- Fire-separating elements
- Fire/life safety systems
- Fire doorsets
- Type of evacuation?
- Assumptions RE management?

Fire Strategy plans/document!

Fire Risk Assessments!

R38

ONLINE VERSION

Regulation 38: Fire safety information

This section deals with the following regulation of the Building Regulations 2010.

This regulation applies where building work-(a) consists of or includes the erection o extension of a relevant building; or

and Part B of Schedule 1 imposes a requirement i

(2) The person carrying out the work shall give fir later than the date of completion of the work

relating to the design and construction of the building or extension, and the services, fitting

applies, or will apply after the completion of

(c) a "relevant change of use" is a material change of use where, after the change of use takes place, the Regulatory Reform (Fire Safety) Order 2005 will apply, or continue to apply, to

(d) "responsible person" has the meaning given by article 3 of the Regulatory Reform (Fire Safety) Order 2005.

The aim of this regulation is to ensure that the person responsible for the building has sufficient information relating to fire safety to enable them to manage the building effectively. The aim of regulation 38 will be achieved when the person responsible for the building has all the information to enable them to do all of the following

- a. Understand and implement the fire safety strategy of the building
- b. Maintain any fire safety system provided in the building
- c. Carry out an effective fire risk assessment of the building

Tel: 0207 099 3636 *

- info@londonbuildingcontrol.co.uk *
- www.londonbuildingcontrol.co.uk





















Building Safety Bill – Gateway developments

Evacuation Alert Systems - BS 8629:2019

A new standard that sets out requirements for Evacuation Alert Systems (EAS), to be used by the Fire and Rescue Service (FRS) in the event of emergencies in apartment blocks.

An evacuation alert system is an alarm system operated by a control panel inside an apartment block for use by the FRS when they attend an emergency. BS 8629 requires an alarm sounder to be situated in each flat in a block - with the control panel capable of triggering evacuation alerts for specific areas or floors within a building.

It does not need to incorporate a two-way communication mechanism or a Voice Alarm System, but each flat must be fitted with an alarm sounder and a visual alarm device (VAD).

It is separate from a fire alarm system. It is not triggered by heat or smoke sensors. Instead, it should only be operated by the FRS via a control panel also known as an EACIE (evacuation alert control and indicating equipment).

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info@londonbuildingcontrol.co.uk *





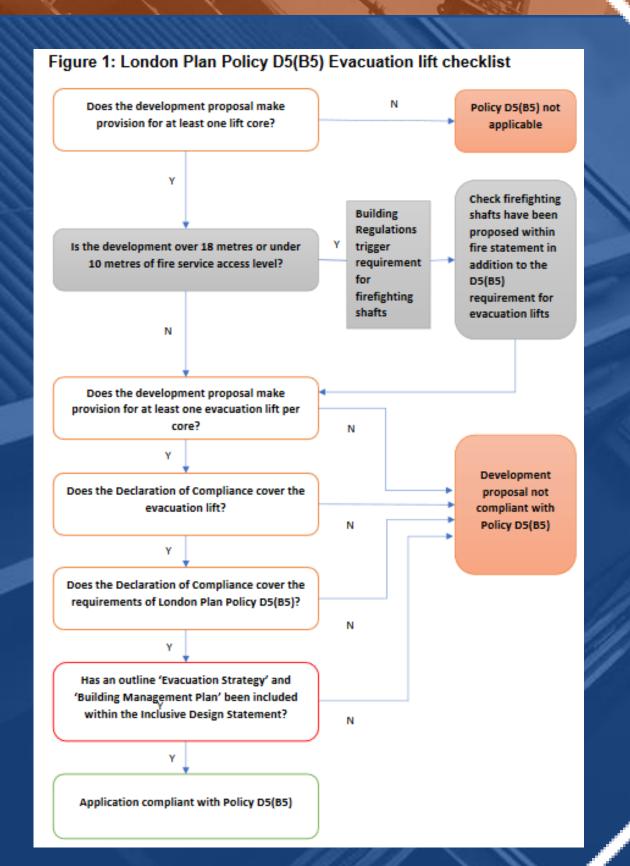












Building Safety Bill - Gateway developments

London Plan Fire Statements - Policy D12(B) March 2021

Evacuation Lifts

- Policy D5 (B5) requires development proposals to be designed to incorporate safe and dignified emergency evacuation for all building users. In all developments where lifts are installed, as a minimum at least one lift per core (or more subject to capacity assessments) should be a suitably sized fire evacuation lift suitable to be used to evacuate people who require level access from the building.
- Evacuation lifts should be provided in addition to Building Regulations requirements for firefighting shafts/ lifts to ensure they can be used for evacuation purposes when the firefighting lift is in use
- Emergency carry down or carry up mechanical devices or similar interventions that rely on manual handling are not considered to be appropriate, for reasons of user dignity and independence. The installation of lifts which can be used for evacuation purposes (accompanied by a management plan) provide a dignified and more independent solution.

https://www.london.gov.uk/sites/default/files/the_london_plan_2021.pdf

info@londonbuildingcontrol.co.uk * Tel: 0207 099 3636 * www.londonbuildingcontrol.co.uk



















Draft Building Safety Bill

Building Control regime & More.



info@londonbuildingcontrol.co.uk *



















- The Regulator is the building control authority for higherrisk buildings
- Regulation of Building Control Approvers Local Authority & Approved Inspectors
- Register of BCB's
- **Building Registration**



























"Registered building inspector"

In this Act "registered building inspector" means an individual registered as a building inspector in accordance with this Part.

- Authorised officers Section 27 & 28
- Regulatory Enforcement regime Section 42... Compliance notices **Stop Notices**

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *

















- Increase of time-limits for formal enforcements of noncompliance with Building Regulations (see sections 35 and 36 of the Building Act 1984). It extends prosecutions for contravention of the Regulations from 2 years to 10 years.
- Requirement to correct non-compliant work from 1 year to 10 years.
- Stronger sanctions























Legal Action & Liability



The Bill will amend the Limitation Act 1980 to extend the period in which a claimant can bring a claim under section 1 of the Defective Premises Act 1972. Currently, under the Defective Premises Act 1972 claimants can seek compensation in respect of the work to construct a dwelling, if the dwelling is unfit for habitation, within 6 years of the work taking place. The Government thinks that it is right to extend that period from 6 to 15 years, to afford leaseholders and other claimants more time to bring proceedings. The measures will apply retrospectively, and the amendment will make provision to ensure that all parties have access to a fair trial.

Currently the Defective Premises Act 1972 only applies to the 'provision' of a dwelling. We will also be extending the cause of action under the Defective Premises Act 1972 to include refurbishment works. This change will also be subject to the extended 15-year limitation period. This change will only apply prospectively.

THE CODE OF

HAMMURABI

Hammurabi

Additionally, we will be commencing section 38 of the Building Act 1984. This provision will also be subject to the extended 15-year limitation period and will apply prospectively only.

Commencement: The expansion of the Defective Premises Act 1972 to include refurbishments and extension to the limitation period for the existing duty will come into force two months after the Bill receives Royal Assent; we intend to commence section 38 of the Building Act 1984 at the same time.

PROSPECTIVE

Civil liability.

- Subject to this section—
 - (a) breach of a duty imposed by building regulations, so far as it causes damage, is actionable, except in so far as the regulations provide otherwise, and
 - (b) as regards such a duty, building regulations may provide for a prescribed defence to be available in an action for breach of that duty brought by virtue of this subsection.
- Subsection (1) above, and any defence provided for in regulations made by virtue of it, do not apply in the case of a breach of such a duty in connection with a building erected before the date on which that subsection comes into force unless the regulations imposing the duty apply to or in connection with the building by virtue of section 2(2) [F51 or 2A] above or paragraph 8 of Schedule 1 to this Act.
- (3) This section does not affect the extent (if any) to which breach of—
 - (a) a duty imposed by or arising in connection with this Part of this Act or any other enactment relating to building regulations, or
 - (b) a duty imposed by building regulations in a case to which subsection (1) above does not apply,

is actionable, or prejudice a right of action that exists apart from the enactments relating to building regulations.

(4) In this section, "damage" includes the death of, or injury to, any person (including any disease and any impairment of a person's physical or mental condition).

Textual Amendments

F51 Words in s. 38(2) inserted (16.11.2004) by Sustainable and Secure Buildings Act 2004 (c. 22), ss. 4(3), 11(4)

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk



















- Regulator issues Building Assurance certificates.
- Accountable persons must apply for an Assurance Certificate and produce a 'safety case'.
- Mandatory Occurrence reporting system
- Duties on residents to ensure safety
- Leaseholder protection
- The Golden Thread of information.
- Building Regulation 38 changes?























Early Guidance on 'Safety Cases'

The safety case report should include:

- The major hazards associated with the building
- What measures are in place to manage, control and mitigate the risks
- Safety management systems and the physical provisions and precautions in the building
- How measures are maintained
- What checks are being done to make sure the measures will work when they are needed
- How the safety case is kept up to date (periodic reviews, before/after major changes, refurbishments, etc)



Safety case principles for highrise residential buildings

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *

















Draft Building Safety Bill

Supplementary provisions

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *















New complaints procedures

- New Homes Ombudsman Approve a code of practice about the standards of conduct and quality of work
- Housing Ombudsman Prevents the apparent "democratic filter" and allows social housing complainants to escalate a complaint directly to the Housing Ombudsman Housing Ombudsman Service

https://www.housing-ombudsman.org.uk/

Tel: 0207 099 3636 *

info@londonbuildingcontrol.co.uk *























- Declarations of performance
- Secretary of State may regulation make provision for products that are 'safety critical'
- Definition 'any product that would cause death or serious injury to any person'
- Current discussions (CPA, ASFP, etc)





info@londonbuildingcontrol.co.uk *









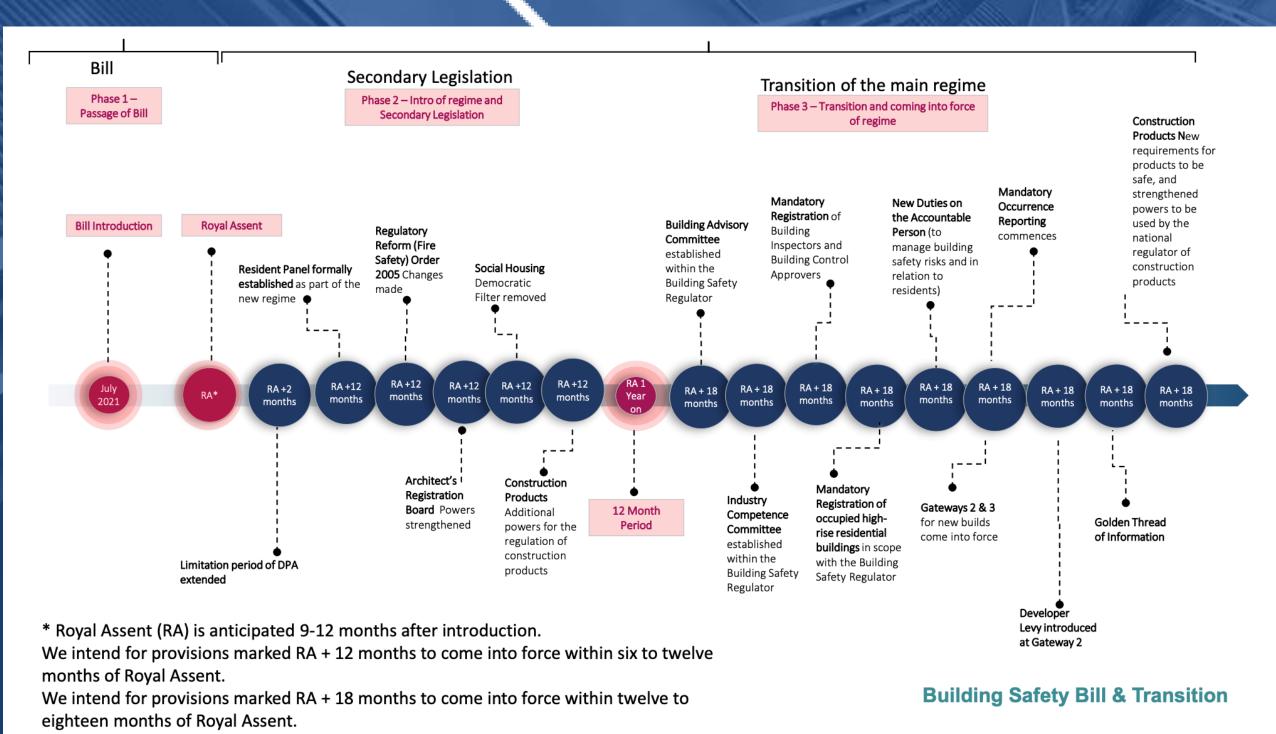








Commencement and transitional periods



- Scrutiny Parliamentary Committee
- Introduced to House of Commons
- Secondary Legislation
- Statutory Instruments
- **Act Amendments**
- New industry frameworks

Tel: 0207 099 3636 * info@londonbuildingcontrol.co.uk *



















Thank you for listening

mmorgan@londonbuildingcontrol.co.uk Mob. 07586 020848

Tel: 0207 099 3636

info@londonbuildingcontrol.co.uk *





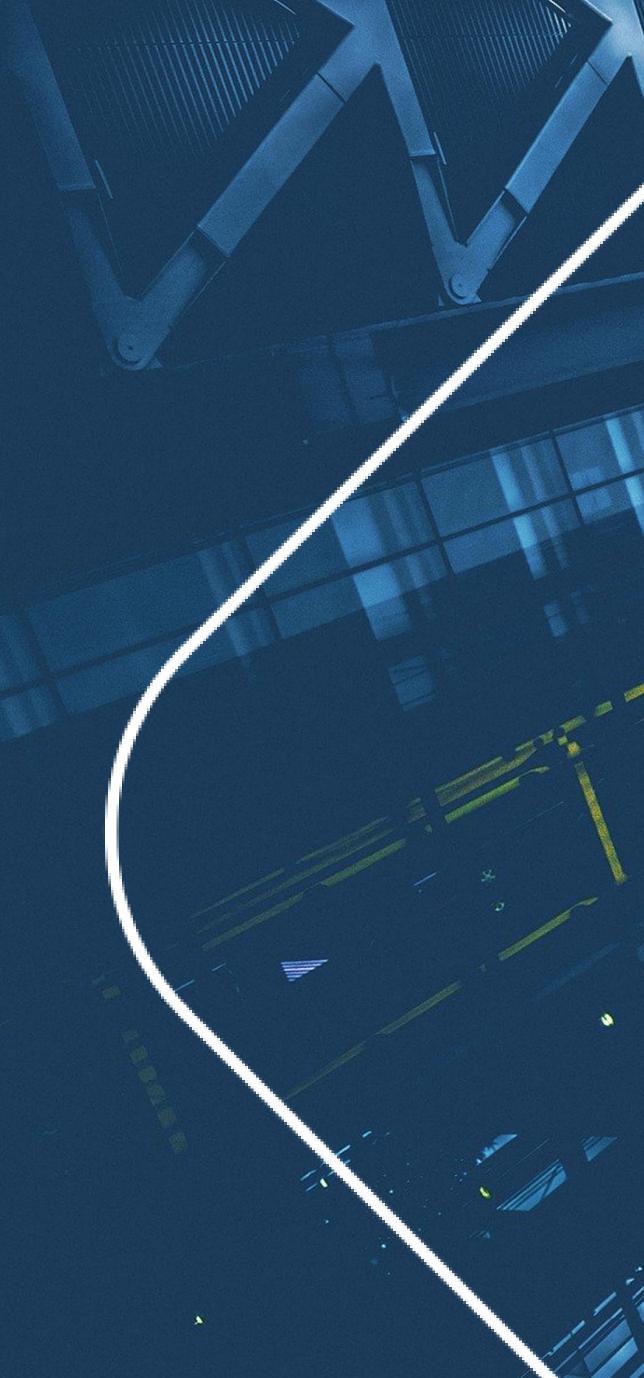














Head Office:

London Building Control, 13 Woodstock Street, Mayfair, London, W1C 2AG

Tel: 0207 099 3636 www.londonbuildingcontrol.co.uk info@londonbuildingcontrol.co.uk

Offices also in:

Chichester: 01243 882990 Manchester: 0161 660 0806

Welwyn Garden City: 01707 248611

Exeter: 01392 240770













